



COPY OF PAPERS  
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S/N 09/982,530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ross Faulkner Smith, et al.      Docket: 60001.0097US01/  
MS172025.1

Serial No.: 09/982,530

Filed: October 18, 2001

Title: Method and System For Tracking Client Software Use

Due Date: January 19, 2002

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited via First Class Mail in an envelope addressed to: U.S. Patent and Trademark Office, Washington, D.C. 20231, on January 15, 2002.

By:

*Jeramie J. Keys*  
Jeramie J. Keys

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed November 19, 2001, Applicant encloses herewith:

1. an executed Declaration and Power of Attorney;
2. an executed Assignment and Assignment Recordal Sheet;
3. Two checks; one in the amount of \$870 to cover the governmental filing fee and surcharge, and one in the amount of \$40 to cover the Assignment Recordal Fee; and
4. a duplicate copy of the Notice to File Missing Parts of Nonprovisional Application.

The filing fee is calculated as follows:

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
<b>Basic Filing Fee</b>								<b>\$740.00</b>
<b>Total Claims</b>								
18	-	20	=	0	x	18.00	=	\$0.00
<b>Independent Claims</b>								
3	-	3	=	0	x	80.00	=	\$0.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
SURCHARGE								\$130.00
<b>TOTAL FILING FEE</b>								<b>\$870.00</b>

Applicant believes that the submission of these papers complete the filing of all necessary papers and respectfully requests that the referenced application be passed along for examination.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD



Jeramie J. Keys  
Reg. No. 42,724

Merchant & Gould, LLC  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
Telephone: 404.954.5100





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WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,530	10/18/2001	Ross Faulkner Smith	60001.0097US01

27488  
MERCHANT & GOULD  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

CONFIRMATION NO. 7764

FORMALITIES LETTER



\*OC000000007093286\*

Date Mailed: 11/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2/08/2002 MBERHE 00000130 09982530

1 FC:101 740.00 OP  
2 FC:105 130.00 OP

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